

99F.12 Licensees — records — reports — supervision — confidentiality.

1. A licensee shall keep its books and records so as to clearly show all of the following:

a. The total number of admissions for each day of operation.

b. The total amount of money wagered and the adjusted gross receipts for each day of operation.

2. a. The licensee shall furnish to the commission reports and information as the commission may require with respect to the licensee's activities.

b. A licensee under [section 99F.7A](#) shall promptly report to the commission any criminal or disciplinary proceedings commenced against the licensee or its employees in connection with the licensee conducting sports wagering or advance deposit sports wagering, any abnormal wagering activity or patterns that may indicate a concern about the integrity of an authorized sporting event or events, and any other conduct with the potential to corrupt a wagering outcome of an authorized sporting event for purposes of financial gain, including but not limited to match fixing, and suspicious or illegal wagering activities, including the use of funds derived from illegal activity, wagers to conceal or launder funds derived from illegal activity, use of agents to place wagers, or use of false identification. The commission is required to share any information received pursuant to this paragraph with the division of criminal investigation, any other law enforcement entity upon request, or any regulatory agency the commission deems appropriate. The commission shall promptly report any information received pursuant to this paragraph with any sports team or sports governing body as the commission deems appropriate, but shall not share any information that would interfere with an ongoing criminal investigation.

c. The gross receipts and adjusted gross receipts from gambling shall be separately handled and accounted for from all other moneys received from operation of an excursion gambling boat or from operation of a racetrack enclosure or gambling structure licensed to conduct gambling games. The commission may designate a representative to board a licensed excursion gambling boat or to enter a racetrack enclosure or gambling structure licensed to conduct gambling games. The representative shall have full access to all places within the enclosure of the boat, the gambling structure, or the racetrack enclosure and shall directly supervise the handling and accounting of all gross receipts and adjusted gross receipts from gambling. The representative shall supervise and check the admissions. The compensation of a representative shall be fixed by the commission but shall be paid by the licensee.

d. With the approval of the commission, a licensee under [section 99F.7A](#) shall cooperate with investigations conducted by sports governing bodies, including but not limited to providing or facilitating the provision of account-level betting information and audio or video files relating to persons placing wagers. However, a licensee shall not share information that would interfere with an ongoing criminal investigation.

3. Except as provided in [subsection 4](#), the books and records kept by a licensee as provided by [this section](#) are public records and the examination, publication, and dissemination of the books and records are governed by the provisions of [chapter 22](#).

4. The records of the commission shall be governed by the provisions of [chapter 22](#), provided that, in addition to records that may be kept confidential pursuant to [section 22.7](#), the following records provided by a licensee to the commission shall be kept confidential, unless otherwise ordered by a court, by the lawful custodian of the records, or by another person duly authorized to release such information:

a. Promotional play receipts records.

b. Patron and customer records.

c. Surveillance records.

d. Security reports and network audits.

e. Internal control and compliance records.

f. Employee records.

g. Marketing expenses.

h. Supplemental schedules to the certified audit, except for those books and records as

described in [subsection 1 of this section](#), that are obtained by the commission in connection with the annual audit under [section 99F.13](#).

i. Any information specifically requested for inspection by the commission or a representative of the commission.

[89 Acts, ch 67, §12](#); [89 Acts, ch 139, §8](#); [2004 Acts, ch 1136, §51](#); [2007 Acts, ch 188, §16](#); [2008 Acts, ch 1032, §15](#); [2017 Acts, ch 22, §2, 3](#); [2019 Acts, ch 132, §17, 22, 23](#)

Referred to in [§99F.4A](#)